# Package 'genoCN'

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Type Package

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Author Wei Sun and ZhengZheng Tang
Maintainer Wei Sun <wsun@bios.unc.edu></wsun@bios.unc.edu>
<b>Description</b> Simultaneous identification of copy number states and genotype calls for regions of either copy number variations or copy number aberrations
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code.genotype

code bi-allele genotype to numerical value

## **Description**

code a genotype vector, e.g. ("AA", "AC", ...) to a numerical vector based on the count of minor allele, e.g., (0, 1, ...)

#### Usage

```
code.genotype(v)
```

#### **Arguments**

٧

character vector of genotypes

#### Value

a numerical vector of genotype

#### Author(s)

Wei Sun wsun@bios.unc.edu

genoCNA

Copy Number Aberration

## **Description**

extract genotype and copy number calls for copy number aberrations, which are often observed in tumor tissues

## Usage

```
genoCNA(snpNames, chr, pos, LRR, BAF, pBs, sampleID,
  Para=NULL, fixPara=FALSE, cnv.only=NULL, estimate.pi.r=TRUE,
  estimate.pi.b=TRUE, estimate.trans.m=TRUE, outputSeg = TRUE,
  outputSNP=3, outputTag=sampleID, outputViterbi=FALSE,
  Ds=c(1e10, 1e10, rep(1e8, 7)), pBs.alpha=0.001, contamination=TRUE,
  normalGtp=NULL, geno.error=0.01, min.tp=1e-4, max.diff=0.1,
  distThreshold=1e6, transB=c(0.5,.05,.05,0.1,0.1,.05,.05,.05),
  epsilon=0.005, K=5, maxIt=200, seg.nSNP=3, traceIt=5)
```

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## Arguments

snpNames	a vector of SNP names. SNPs must be ordered by chromosme locations	
chr	chromosomes of all the SNPs specified in snpNames	
pos	positions of all the SNPs specified in snpNames	
LRR	Log R Ratio of all the SNPs specified in snpNames	
BAF	B Allele Frequency of all the SNPs specified in snpNames	
pBs	population frequency of of all the SNPs specified in snpNames	
sampleID	symbol/name of the studied sample. Only one sample is studied each time	
Para	a list of initial parameters for the HMM. If Para is NULL, The default initial parameters: init.Para.CNA is used	
fixPara	if fixPara is TRUE, the parameters in Para are fixed, and are used directly to calculate posterior probabilities. It is not recommended to set fixPara as TRUE for CNA studies.	
cnv.only	a vector indicating those CNV-only probes, for which we only consider their Log R ratio. If it is NULL, there is no CNV-only probes	
estimate.pi.r	to estimate pi.r (proportion of uniform component for LRR) or not. By default, estimate.pi.r=FALSE, and the initial value of pi.r is used to estimate other parameters	
estimate.pi.b	to estimate pi.b (proportion of uniform component for BAF) or not. By default, estimate.pi.b=FALSE, and the initial value of pi.b is used to estimate other parameters	
estimate.trans.m		
	to estimate transition probability matrix or not. By default, estimate.trans.m=FALSE, and the initial value of estimate.trans.m is used to estimate other parameters	
outputSeg	wether to output the information of copy number altered segments	
outputSNP	if outputSNP is 0, do not output SNP specific information; if outputSNP is 1, output the most likely copy number and genotype state of the SNPs that are within copy number altered regions; if outputSNP is 2, output the most likely copy number and genotype state of all the SNPs (whether it is within CNV regions or not), if outputSNP is 3, output the posterior probability for all the copy number and genotype states for the SNPs.	
outputTag	the prefix of the output files, output of copy number altered segments is written into file outputTag\_segment.txt, and output of SNP information is written into file outputTag\_SNP.txt	
outputViterbi	whether to output the copy altered regions identified by the viterbi algorithm. see details	
Ds	Parameter to for transition probability of the HMM. A vector of length N, where N is the number of states in the HMM	
pBs.alpha	pBs.alpha is the lower limit of population B allele frequency, and the upper limit is $1$ - pBs.alpha	
contamination	whether tissue contamination is considered	

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normalGtp normalGtp is specified only if paired tumor-normal SNP array is available. It

is the normal tissue genotype for all the SNPs specified in snpNames, which can only take four different values: -1, 0, 1, and 2. Values 0, 1, 2 correspond to the number of B alleles, and value -1 indicates the normal genotype is missing. By

default, it is NULL, then all the normal genotype are set missing (-1)

geno.error probability of genotyping error in normal tissue genotypes

min.tp the minimum of transition probability.

max.diff Due to normalization procedure, the BAF may not be symmetric. Let's use

state (AAA, AAB, ABB, BBB) as an example. Ideally, mean values of normal components AAB and ABB, denoted by mu1 and mu2, respectively, should have the relation mu1 = 1-mu2 if BAF is symmetric. However, this may not be true due to normalization procedures. We restrict the difference of mu1 and (1-mu2)

by this parameter max.diff.

distThreshold If distance between adjacent probes is larger than distThreshold, restart the tran-

sition probability by the default values in transB.

transB The default transition probability.

epsilon see explanation of K

K epsilon and K are used to specify the convergence criteria. We say the esti-

mate.para is converged if for K consecutive updates, the maximum change of

parameter estimates in every adjacent step is smaller than epsilon

maxIt the maximum number of iterations of the EM algorithm to estimate parameters

seg. nSNP the minimum number of SNPs per segment

traceIt if traceIt is a integer n, then the running time is printed out in every n iterations

of the EM algorithm. if traceIt is 0 or negative, no tracing information is printed

out.

#### Value

results are written into output files

## Note

Copy number altered regions are identified, by default, based on the SNP level copy number calls. A CNA region boundary is declared simply when the adjacent SNPs have different copy numbers. An alternative approach is to use viterbi algorithm to output the "best path". Most time the results based on the SNP level copy number calls are the same as the results from viterbi algorithm. For the following up association studies, the SNP level information is more relevant if we examine the association SNP by SNP.

#### Author(s)

Wei Sun and Zhengzheng Tang

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#### **Examples**

```
data(snpData)
data(snpInfo)
dim(snpData)
dim(snpInfo)
snpData[1:2,]
snpInfo[1:2,]
snpInfo[c(1001,1100,10001,10200),]
plotCN(pos=snpInfo$Position, LRR=snpData$LRR, BAF=snpData$BAF,
main = "simulated data on Chr22")
snpNames = snpInfo$Name
chr = snpInfo$Chr
pos = snpInfo$Position
LRR = snpData$LRR
BAF = snpData\$BAF
pBs = snpInfo$PFB
cnv.only=(snpInfo$PFB>1)
sampleID="simu1"
# Note this simulated data is more of CNV rather than CNA.
# For example, there is no tissue contamination.
# We just use it to illustrate the usage of genoCNA.
Theta = genoCNA(snpNames, chr, pos, LRR, BAF, pBs, contamination=TRUE,
  normalGtp=NULL, sampleID, cnv.only=cnv.only, outputSeg = TRUE,
            outputSNP = 1, outputTag = "simu1")
```

genoCNV

Copy Number Variation

#### **Description**

extract genotype and copy number calls for copy number variation, which are inheritable DNA polymorphisms and are observed in normal tissues

## Usage

```
genoCNV(snpNames, chr, pos, LRR, BAF, pBs, sampleID,
   Para=NULL, fixPara=FALSE, cnv.only=NULL, estimate.pi.r=TRUE,
   estimate.pi.b=FALSE, estimate.trans.m=FALSE, normLRR=TRUE,
   outputSeg=TRUE, outputSNP=3, outputTag=sampleID, outputViterbi=FALSE,
   Ds = c(1e6, 1e6, rep(1e5, 4)),
   pBs.alpha=0.001, loh=FALSE, output.loh=FALSE,
   min.tp=5e-5, max.diff=0.1, distThreshold=5000,
```

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```
transB = c(0.995, 0.005*c(.01, .09, .8, .09, .01)), epsilon=0.005, K=5, maxIt=200, seg.nSNP=3, traceIt=5)
```

## Arguments

snpNames	a vector of SNP names. SNPs must be ordered by chromosome locations
chr	chromosomes of all the SNPs specified in snpNames
pos	positions of all the SNPs specified in snpNames
LRR	Log R Ratio of all the SNPs specified in snpNames
BAF	B Allele Frequency of all the SNPs specified in snpNames
pBs	population frequency of of all the SNPs specified in snpNames
sampleID	symbol/name of the studied sample. Only one sample is studied each time
Para	a list of initial parameters for the HMM. If Para is NULL, The default initial parameters: init.Para.CNV is used
fixPara	if fixPara is TRUE, the parameters in Para are fixed, and are used directly to calculate posterior probabilities
cnv.only	a vector indicating those CNV-only probes, for which we only consider their Log R ratio. If it is NULL, there is no CNV-only probes
estimate.pi.r	to estimate pi.r (proportion of uniform component for LRR) or not. By default, estimate.pi.r=FALSE, and the initial value of pi.r is used to estimate other parameters
estimate.pi.b	to estimate pi.b (proportion of uniform component for BAF) or not. By default, estimate.pi.b=FALSE, and the initial value of pi.b is used to estimate other parameters
estimate.trans	
	to estimate transition probability matrix or not. By default, estimate.trans.m=FALSE, and the initial value of estimate.trans.m is used to estimate other parameters
normLRR	If normLRR is TRUE, we normalize the LRR data by subtracting the median LRR for those LRR between -2 and 2. This strategy has been used by PennCNV.
outputSeg	wether to output the information of copy number altered segments
outputSNP	if outputSNP is 0, do not output SNP specific information; if outputSNP is 1, output the most likely copy number and genotype state of the SNPs that are within copy number altered regions; if outputSNP is 2, output the most likely copy number and genotype state of all the SNPs (whether it is within CNV regions or not), if outputSNP is 3, output the posterior probability for all the copy number and genotype states for the SNPs.
outputTag	the prefix of the output files, output of copy number altered segments is written into file outputTag\_segment.txt, and output of SNP information is written into file outputTag\_SNP.txt
outputViterbi	whether to output the copy altered regions identified by the viterbi algorithm. see details
Ds	Parameter to for transition probability of the HMM. A vector of length N, where N is the number of states in the HMM

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pBs.alpha pBs.alpha is the lower limit of population B allele frequency, and the upper limit

is 1 - pBs.alpha

10h Whether we use the copy-number-neutral loss of heterozygosity state for CNV

studies.

output.loh Whether we output the loh information.

min.tp the minimum of transition probability.

max.diff Due to normalization procedure, the BAF may not be symmetric. Let's use

state (AAA, AAB, ABB, BBB) as an example. Ideally, mean values of normal components AAB and ABB, denoted by mu1 and mu2, respectively, should have the relation mu1 = 1-mu2 if BAF is symmetric. However, this may not be true due to normalization procedures. We restrict the difference of mu1 and (1-mu2)

by this parameter max.diff.

distThreshold If distance between adjacent probes is larger than distThreshold, restart the tran-

sition probability by the default values in transB.

transB The default transition probability.

epsilon see explanation of K

K epsilon and K are used to specify the convergence criteria. We say the esti-

mate.para is converged if for K consecutive updates, the maximum change of

parameter estimates in every adjacent step is smaller than epsilon

maxIt the maximum number of iterations of the EM algorithm to estimate parameters

seg.nSNP the minimum number of SNPs per segment

traceIt if traceIt is a integer n, then the running time is printed out in every n iterations

of the EM algorithm. if traceIt is 0 or negative, no tracing information is printed

out.

#### Value

results are written into output files

#### Note

Copy number altered regions are identified, by default, based on the SNP level copy number calls. A CNV region boundary is declared simply when the adjacent SNPs have different copy numbers. An alternative approach is to use viterbi algorithm to output the "best path". Most time the results based on the SNP level copy number calls are the same as the results from viterbi algorithm. For the following up association studies, the SNP level information is more relevant if we examine the association SNP by SNP.

#### Author(s)

Wei Sun and Zhengzheng Tang

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#### **Examples**

```
data(snpData)
data(snpInfo)
dim(snpData)
dim(snpInfo)
snpData[1:2,]
snpInfo[1:2,]
snpInfo[c(1001,1100,10001,10200),]
plotCN(pos=snpInfo$Position, LRR=snpData$LRR, BAF=snpData$BAF,
main = "simulated data on Chr22")
snpNames = snpInfo$Name
chr = snpInfo$Chr
pos = snpInfo$Position
LRR = snpData$LRR
BAF = snpData\$BAF
pBs = snpInfo$PFB
cnv.only=(snpInfo$PFB>1)
sampleID="simu1"
Theta = genoCNV(snpNames, chr, pos, LRR, BAF, pBs,
            sampleID, cnv.only=cnv.only, outputSeg = TRUE,
            outputSNP = 1, outputTag = "simu1")
```

init.Para.CNA

Initial parameters for the HMM

#### **Description**

a list of initial values for the parameters of genoCNA.

## Usage

```
data(init.Para.CNA)
```

## **Format**

The format is a list of 16 items

- pi.r a vector of length N, where N is the number of states. pi.r[j] is the prior probability of the uniform component of log R ratio for state j
- mu.r a vector of length N, where N is the number of states. mu.r[j] is mean value of the normal component of log R ratio for state j
- sd.r a vector of length N, where N is the number of states. sd.r[j] is standard deviation of the normal component of log R ratio for state j

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mu.r.upper, mu.r.lower two vectors of the same size of mu.r, indicating the upper/lower bound
of mu.r

- sd.r.upper, sd.r.lower two vectors of the same size of sd.r, indicating the upper/lower bound of sd.r.
- pi.b a vector of length N, where N is the number of states. pi.b[j] is the prior probability of the uniform component of B allele frequency for state j
- mu.b a matrix of N\*M, where N is the number of states, and M is the maximum number of components of each states. mu.b[i,j] indicates the mean value of the j-th component of the i-th state
- sd.b a matrix of the same size of mu.b, specifying the standard deviations
- mu.b.upper, mu.b.lower two matrices of the same size of mu.b, incating the upper/lower bound of mu.b
- sd.b.upper, sd.b.lower two matrices of the same size of sd.b, indicating the upper/lower bound
  of sd.b
- trans.m transition probability matrix of size N\*N. The diagonal elements are not used.
- trans.begin a matrix of size S\*N, where S is the number of chromosomes, and N is the number of states. trans.begin[s,] are the state probabilities for the fist probe of the s-th chromosome. By default, we assume there is only one chromosome, therefore it is a matrix of 1\*N.

## **Examples**

```
data(init.Para.CNA)
```

init.Para.CNV

Initial parameters for the HMM of genoCNV

#### Description

a list of initial values for the parameters genoCNV.

#### Usage

```
data(init.Para.CNV)
```

#### **Format**

The format is a list of 16 items

- pi.r a vector of length N, where N is the number of states. pi.r[j] is the prior probability of the uniform component of log R ratio for state j
- mu.r a vector of length N, where N is the number of states. mu.r[j] is mean value of the normal component of log R ratio for state j
- sd.r a vector of length N, where N is the number of states. sd.r[j] is standard deviation of the normal component of log R ratio for state j

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 mu.r.upper, mu.r.lower two vectors of the same size of mu.r, incating the upper/lower bound of mu.r

- sd.r.upper, sd.r.lower two vectors of the same size of sd.r, indicating the upper/lower bound of sd.r
- pi.b a vector of length N, where N is the number of states. pi.b[j] is the prior probability of the uniform component of B allele frequency for state j
- mu.b a matrix of N\*M, where N is the number of states, and M is the maximum number of components of each states. mu.b[i,j] indicates the mean value of the j-th component of the i-th state
- sd.b a matrix of the same size of mu.b, specifying the standard deviations
- mu.b.upper, mu.b.lower two matrices of the same size of mu.b, incating the upper/lower bound of mu.b.
- sd.b.upper, sd.b.lower two matrices of the same size of sd.b, indicating the upper/lower bound of sd.b
- trans.m transition probability matrix of size N\*N. The diagonal elements are not used.
- trans.begin a matrix of size S\*N, where S is the number of chromosomes, and N is the number of states. trans.begin[s,] are the state probabilities for the fist probe of the s-th chromosome. By default, we assume there is only one chromosome, therefore it is a matrix of 1\*N.

#### **Examples**

```
data(init.Para.CNV)
```

plotCN

plot LRR, BAF, and the copy number estimates

## Description

plot LRR, BAF, and the copy number estimates of genoCNV and/or PennCNV.

## Usage

```
plotCN(pos, LRR, BAF, chr2plot = NULL, sampleIDs = NULL, fileNames=NULL,
types = "genoCN", CNA = TRUE, main = "", LRR.ylim=NULL,
cex=0.5, plot.lowess=TRUE)
```

## Arguments

pos	position of all the SNPs
LRR	a vector of the log R ratio, should be one-to-one correspondence of pos
BAF	a vector of the B allele frequency, should be one-to-one correspondence of pos
chr2plot	which chromosome to plot. Only one chromosome can be plotted each time
sampleIDs	sample ID, could be a vector of the same length as fileNames so that different
	sample IDs are used for different input files.

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fileNames one or more names of the output files of genoCN or PennCNV. If it is NULL,

only plot the LRR and BAF.

types should be the same length as fileNames, indicating the type of output, currently

only support "genoCN" and "pennCNV"

CNA whether this is a copy number aberration study.

main title of the plot

LRR.ylim Range of y-axis for LRR plot

cex the amount by which plotting text and symbols should be magnified relative to

the default

plot.lowess to plot the lowess curve for LRR or not

#### Author(s)

Wei Sun

#### See Also

```
genoCNA, genoCNV
```

## **Examples**

```
data(snpData)
data(snpInfo)

dim(snpData)
dim(snpInfo)

snpData[1:2,]
snpInfo[1:2,]

snpInfo[c(1001,1100,10001,10200),]

plotCN(pos=snpInfo$Position, LRR=snpData$LRR, BAF=snpData$BAF,
main = "simulated data on Chr22")
```

snpData

Simulated LRR and BAF data for 17,348 SNPs on chromosome 22.

## Description

Simulated LRR and BAF data for 17,348 SNPs on chromosome 22. Two CNVs are simulated. One is from the 1001-th probe to the 1100-th probe, with copy number 1. The other one is from the 10,001-th probe to the 10,200-th probe, with copy number 3.

#### Usage

```
data(snpData)
```

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## **Format**

A data frame with 17,348 observations on the following 3 variables.

```
Name a character vector of probe Names

LRR a numeric vector of LRR values of each probe

BAF a numeric vector of BAF of each probe
```

## **Examples**

```
data(snpData)
data(snpInfo)

dim(snpData)
dim(snpInfo)

snpData[1:2,]
snpInfo[1:2,]

plotCN(pos=snpInfo$Position, LRR=snpData$LRR, BAF=snpData$BAF,
main = "simulated data on Chr22")
```

snpInfo

Information of 17,348 SNPs on chromosome 22.

## **Description**

Information of 17,348 SNPs on chromosome 22.

## Usage

```
data(snpInfo)
```

## **Format**

A data frame with 17348 observations on the following 4 variables.

Name a character vector of probe Names

Chr a character vector of chromosomes of each probe

Position a numeric vector of genomic position of each probe

PFB a numeric vector of population frequency of B allele for each probe. For copy number only probes, PFB=2.0

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## **Examples**

```
data(snpData)
data(snpInfo)

dim(snpData)
dim(snpInfo)

snpData[1:2,]
snpInfo[1:2,]

plotCN(pos=snpInfo$Position, LRR=snpData$LRR, BAF=snpData$BAF,
main = "simulated data on Chr22")
```

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